

The Polycondensation of Cyclohexylsilanetriol

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Cyclohexylsilanetriol, formed in dilute aqueous acetone solution by the hydrolysis of cyclohexyltrichlorosilane, condenses to give an easily isolated dimer, a trimer, and a tetramer. Further condensation gives a resinous mixture which very slowly converts to two crystalline species: hexacyclohexyltetracyclo[5.5.1.1^{3,11}.1^{5,9}]hexasiloxane ("cyclohexyl-T₆") and, predominantly, heptacyclohexyltricyclo[7.3.3.1^{5,11}]heptasiloxane-endo-endo-endo-3,7,14-triol ("cyclohexyl-T₇(OH)₃"). The latter forms a very strongly hydrogen-bonded dimer. The formation of such a product in good yield indicates that the polycondensation of silanetriols can proceed in a fairly selective manner.

During a survey of the polymerization behavior of various trifunctional silicone systems, we observed that certain hydrolyses of cyclohexyltrichlorosilane in aqueous acetone deposited crystalline products in sizable yields. Since polymerizations of trifunctional silicones usually give resins, long believed to consist of very complex mixtures of polycondensates,^{1,2} further investigation was undertaken.

Results

When a solution of cyclohexyltrichlorosilane in acetone was added to a large excess of water, immediate exothermic reaction occurred, 3 equiv. of HCl was produced, and a clear, homogeneous solution resulted. Since all of the cyclohexylsilicone condensation products are water insoluble, it was evident that only monomer could be present initially, *i.e.*, that the hydrolysis of cyclohexyltrichlorosilane to cyclohexylsilanetriol occurs very much more rapidly than the polycondensation of the latter to polysiloxanes.

After a few minutes at room temperature, deposition of a microcrystalline precipitate commenced and continued for 1 or 2 days. Filtration after 4 days gave the dimer, cyclohexyl-T₂(OH)₄,³ in 84% yield.⁴

When the solvent mixture contained higher proportions of acetone, phase separation did not occur until the condensation had proceeded considerably further. Thus, 3–4% solutions of the chlorosilane in 60–80% acetone remained homogeneous for several days, and then slowly deposited a lower liquid layer containing part of the condensate. Crystalline products precipitated very slowly: 3% ($\frac{1}{2}$ T₆, $\frac{1}{2}$ T₇(OH)₃) after 1 month and 21% ($\frac{1}{3}$ T₆, $\frac{2}{3}$ T₇(OH)₃) after 4 months. Refluxing such an hydrolysis solution caused a little

T₆ to form rapidly, and then precipitation ceased. However, when the mixture was then allowed to stand at room temperature precipitation of a 10:1 T₇(OH)₃-T₆ mixture proceeded steadily, and was apparently still continuing after 19 months, when the experiment was terminated (65% total yield). No trace of the very insoluble and easily recognized pentacyclic, cyclohexyl-T₅, could be detected in the products. This was unexpected, since methyl- and phenyltrichlorosilanes both gave T₅'s under the conditions employed, a variety of alkyl and aryltrichlorosilanes have given T₅'s in good yields under slightly more vigorous conditions,⁵ and cyclohexyl-T itself was found to give T₅ easily in alkaline systems, which allow complete structural reorganization to occur.⁶ It was also noted that adding a trace of HF (which presumably also produces at least partial siloxane equilibration) to an hydrolysis solution prevented the formation of any insoluble crystalline species.

The noncrystalline portions of the polycondensates were examined briefly. After 1 month at room temperature the lower layer (0.25 total) had the average composition T₇(OH)₃ while the upper averaged T₅(OH)₃. The infrared spectra, however, showed the same sharp, ν_a SiOSi bands, characteristic of strained and/or polycyclic siloxanes, in both layers. It appeared likely that tricyclics and tetracyclics in the Si₆-Si₈ range which were barely soluble in 80% acetone were important constituents of the mixture, while the remainder consisted of more soluble, less highly condensed linear and monocyclic species in the Si₃-Si₅ range. The resin from the upper layer did, in fact, deposit small amounts of two such species upon standing in cyclohexane: one was a cyclotetrasiloxane, T₄(OH)₄, the other possibly the linear trisiloxane, T₃(OH)₅.

The resin remaining after refluxing followed by standing for 19 months was a monodisperse (by gel permeation chromatography) polycondensate showing the same ν_a SiOSi pattern as was observed after 1 month at 25°. Apparently, the pool of precursors from which the crystalline species were being drawn was little altered after removing 65% as T₆ and T₇(OH)₃.

Attempts to identify the precursor(s) spectroscopically by reference to the extensive catalog of polycyclic siloxane ν_a SiOSi patterns which has accumulated in this laboratory were partially frustrated by the numerous weak bands arising from cyclohexyl group vibrations which also appeared in the 950–1150-cm.⁻¹ region. Nevertheless, three general conclusions were possible.

First, the mixture consisted solely of compact polycyclic siloxanes. The 1040–1050-cm.⁻¹ absorption

(5) K. Olsson, *Arkiv Kemi*, 13, 367 (1958); K. Olsson and C. Grönwall, *ibid.*, 17, 529 (1961).

(6) J. F. Brown, Jr., L. H. Vogt, Jr., and P. I. Prescott, *J. Am. Chem. Soc.*, 86, 1120 (1964).

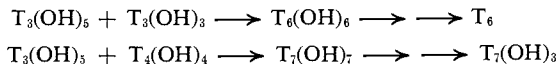
(1) J. A. Meads and F. S. Kipping, *J. Chem. Soc.*, 107, 459 (1915).

(2) M. M. Sprung, *Advan. Polymer Sci.*, 2, 442 (1961).

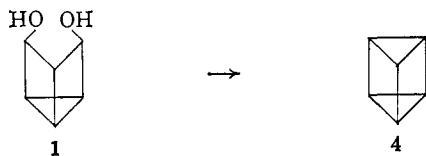
(3) According to the conventional silicone nomenclature, T denotes a trifunctional unit of siloxane structure, *i.e.*, a monoalkylsiloxy unit. For convenience, we shall indicate the empirical composition of our polycondensate by formulas of the type T_mX_n, where *m* represents the number of T units in the molecule and X_n the number and kind of uncondensed functional groups. The empirical formula corresponding to T_mX_n is (RSi)_mO_(2m-n/2)X_n, and the number of rings in the molecule is $(m - n + 2)/2$.

(4) J. F. Brown, Jr., U. S. Patent 2,992,263 (July 11, 1961).

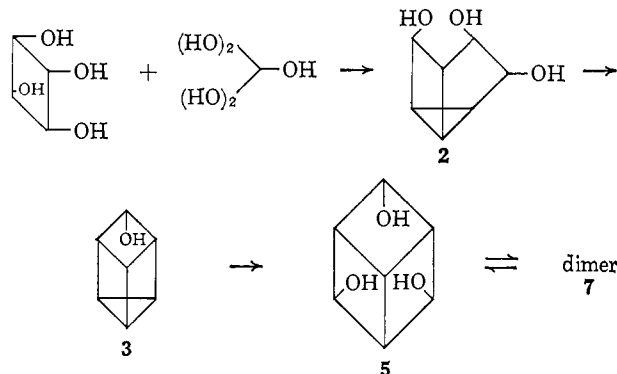
the initial condensation rate, the above steps were probably mostly completed in 1 or 2 weeks in our system. Intermolecular condensation of these Si₃ and Si₄ species would then produce the precursors for the Si₆, Si₇, and possibly Si₈ polycyclics ultimately formed. The immediate precursor of the T₆ was prob-



ably the *endo,endo* epimer of diol **1**, which would be expected to close rather slowly because of the considerable strain in the tetracyclic system. The pre-



cursor of the T₇(OH)₇ was probably the tetracyclic **3**, which is strongly implicated by the ν_aSiOSi pattern and low silanol content of the precursor mixture. This T₇OH, in turn, must have resulted from some structural isomer of the T₇(OH)₃. A reasonable possibility is **2**, which could be easily formed by condensation of the T₃(OH)₃ and T₄(OH)₄ intermediates.



Whatever the detailed mechanism, the formation of a single heptameric product in good yield indicates a considerable degree of nonrandomness, or selectivity, in the polymerization, since its formation requires the condensation of 18 silanol groups in a specific pattern. Interestingly enough, the pathway selected is quite different from that followed by phenylsilanetriol under the same conditions (which leads mainly to T₈(OH)₂'s⁸) and also from those which would lead to thermodynamically stable^{6,7,14} silsesquioxanes such as T₈, T₁₀, T₁₂, or ladder polymer.

Experimental Section

Equilibrative Polycondensation. Paralleling a phenylsilsesquioxane equilibration procedure,⁶ a mixture of cyclohexyltriethoxysilane (61 g., 0.25 mole), water (14 g., 0.78 mole), tetraethylammonium hydroxide (0.0088 mole), and methyl isobutyl ketone (250 ml.) was refluxed. The mixture became homogeneous in 2 hr., but crystallization commenced shortly thereafter. After 8 hr. of refluxing and 6 days at room temperature, filtration gave 25.2 g. (75%) of the previously reported¹² cyclohexyl-T₈, octacyclohexylpentacyclo[9.5.1^{3,9}.1^{5,17}.1^{7,13}]octasiloxane, pseudo-cubic

rhombohedra from benzene, sublimes >400°, ν_aSiOS 1116 cm.⁻¹ (in CS₂).

Anal. Calcd. for C₄₈H₈₈O₁₂Si₈: C, 53.3; H, 8.2; mol. wt., 1080. Found: C, 53.1; H, 8.3; mol. wt. (ebullioscopic in benzene), 1100.

Cyclohexyl-T₂(OH)₄. Cyclohexyltrichlorosilane (26 g.) was dissolved in 100 ml. of acetone and the solution was added to 1200 ml. of cold water. After 4 days at room temperature, filtration gave 15.3 g. (84%) of 1,3-dicyclohexyldisiloxanetetrol (cyclohexyl-T₂(OH)₄), m.p. 205–215° dec. after recrystallization from ethanol-benzene, ν_aSiOSi (in *t*-butyl alcohol) *ca.* 1093 cm.⁻¹ (broad).

Anal. Calcd. for C₁₂H₂₆O₅Si₂: C, 47.0; H, 8.5. Found: C, 47.1; H, 8.7.

Condensations in Aqueous Acetone. (1) Cyclohexyltrichlorosilane (50 g.) was dissolved in 1000 ml. of acetone, water (250 ml.) was added, and the mixture was allowed to stand for 4 months. The crystalline precipitate was filtered off, rinsed with acetone, and dried to give 7.15 g. (23%) of a 2:1 T₇(OH)₃-T₆ mixture. (2) The chlorosilane (3.5 g.) was dissolved in 80 ml. of acetone, and 20 ml. water was added (exotherm). Deposition of crystals commenced after 3–4 days (separation of a lower sirupy layer after 5 days). After 4 weeks, filtration gave 0.063 g. (3%) of a 1:1 T₇(OH)₃-T₆ mixture. Addition of the upper layer to 800 ml. of water precipitated resin 2A, 1.41 g. Addition of the lower layer to 200 ml. of water gave resin 2B, 0.54 g. (3) The chlorosilane (12.3 g.) was dissolved in 240 ml. of acetone, added to 80 ml. of ice water, then refluxed with occasional seeding with T₇(OH)₃ for 3 days, cooled, and filtered, giving 0.627 g. (8.2%) of pure (infrared) T₆. Refluxing for 1 additional day gave no further precipitate. Upon standing, several crops of mixed crystals, each about 10:1 T₇(OH)₃-T₆, precipitated: after 1 month, 0.631 g.; 3 months, 0.783 g.; 19 months, 3.027 g.; total, 5.068 g., 65%, comprised of 52% T₇(OH)₃ and 13% T₆. Addition of water to the final solution precipitated about 2.9 g. of resin 3.

The various T₇(OH)₃/T₆ ratios were estimated from the ν_aSiOSi region infrared spectra, which are mainly nonoverlapping, and, in the case of the final product from reaction 3, also gravimetrically after dissolving the T₇(OH)₃ in cold pyridine. Evidence for T₈ was sought first in the X-ray diffraction patterns of the T₇(OH)₃-T₆ mixtures, and then in the infrared spectrum of the T₆ left after removing the T₇(OH)₃ with pyridine. The T₈ content found was 0 ± 2% that of the T₆.

Characterization of the Resinous Products. Analysis of resin 2A indicated C, 51.3; H, 8.3; mol. wt. (ebullioscopic in acetone), 703 (±5%), corresponding to average molecular composition T₅(OH)₃. Analysis of resin 2B indicated C, 51.6; H, 8.3; mol. wt., 977 (±5%), corresponding to average composition T₇(OH)₃. Gel permeation chromatography¹⁵ of resin 3 in tetrachloroethane using a Waters Associates gel permeation chromatograph with 10⁶, 10⁴, and 10³ Å. columns revealed a single peak having the same position and width as that produced by cyclohexyl-T₈. This indicated an essentially monodisperse condensate containing species having the same (±10%) ef-

(14) J. F. Brown, Jr., *J. Polymer Sci.*, **1C**, 83 (1963).

(15) J. C. Moore, *ibid.*, **2A**, 835 (1964).

fective mean molar volumes as T_3 ; the columns used could not have resolved T_6 - and T_8 -silanols admixed with T_7 's but could have easily detected a more highly polydisperse system.

The infrared spectra (in CS_2) of all the resin specimens were remarkable for showing a series of sharp ν_aSiOSi skeletal bands, rather than the broad, featureless ν_aSiOSi absorption usually seen in unfractionated silicone resins. In the 950 – 1150 - cm^{-1} region, bands were seen near 985 (sh), 999 (m)*, 1027 (m)*, 1038 (m)*, 1062 (s), 1079 (s), 1094 (s), 1110 (s) (*, partly), and *ca.* 1125 (sh)(?); those marked with an asterisk (*), however, could be adequately accounted for as silylcyclohexane group vibrations. The closest available reference spectra were those of methylsilicones, which normally show ν_aSiOSi bands at about 6 - cm^{-1} higher frequencies than the cyclohexyl analogs because of the inductive effect. The *endo* isomer of methyl- T_7OH , structure **3**, showed ν_aSiOSi at 990 (mw), 1068 (ms), 1086 (ms), and 1112 (s) cm^{-1} . The methyl- T_4D_2 of structure **1**, in which the inductive shift of replacing cyclohexyls by methyls would be more or less compensated by the opposite shift resulting from methyl for hydroxyl replacement, showed ν_aSiOSi at 986 (wsh), 997 (m), 1060 (s), 1089 (ms), and 1108 (msh) cm^{-1} . The resins also showed the absorption bands of free silanol groups at 3680 cm^{-1} and of hydrogen-bonded silanols near 3350 cm^{-1} . The extinctions, $E_{1\text{ cm}}^{1\%}$, in the regions of the major νOH and ν_aSiOSi bands were as shown in Table I. The extinction coefficient of a cyclohexyl- $T(OH)$ group is about 7 in either $\nu(OH)$ band; thus, the sum of the 3680 and 3350 extinctions corresponds fairly closely to the number of silanols per 7 T -units. The similarity of the ν_aSiOSi absorptions in resin **3** to those in resin **2**, despite the presence of one more ring (two less silanols) on the average, probably results from the fact that rigid polycyclics such as **1** and **3** have sharp ν_aSiOSi bands which come in the same general regions as the broader bands of, *e.g.*, bicyclics, and hence will dominate the spectra of mixtures.

Table I. Extinctions ($E_{1\text{ cm}}^{1\%}$) at Various Frequencies

	3680	3350	1110	1094	1078	1065
Resin 2A	1.9	2.6	27	25	23	22
Resin 2B	1.7	1.2	27	26	25	24
Av. 2A + 2B	1.9	2.2	27	25	23	22
Resin 3	1.4	0.5	27	24	23	21

Cyclohexyl- $T_4(OH)_4$. Part (1.23 g.) of resin 2A was dissolved in cyclohexane and allowed to stand. Three successive crops of crystals, totaling 0.075 g., were collected over a 7-month period. The X-ray patterns failed to detect $T_2(OH)_4$ in any of these, but each could be separated into two constituents upon recrystallization from acetone. The major constituent formed long, silky needles, m.p. 187 – 193° dec. Its ν_aSiOSi spectrum (in *t*-butyl alcohol) showed a single band near 1100 cm^{-1} , very similar in position and shape to those observed in the methyl- and phenylcyclohexylsiloxanetrols.⁸ Accordingly, it was formulated as a 2,4,6,8-tetracyclohexylcyclohexylsiloxanetrol of unknown steric configuration.

Anal. Calcd. for $C_{24}H_{48}O_8Si_4$: C, 50.0; H, 8.4. Found: C, 49.5; H, 8.4.

Cyclohexyl- $T_3(OH)_3$ (?). The mother liquors from the $T_4(OH)_4$ recrystallization yielded 0.010 g. of fine granular crystals from benzene–acetone, m.p. 216 – 220° dec. Repeated concordant analyses indicated a composition best corresponding to an acyclic trimer, *e.g.*, 1,3,5-tricyclohexyltrisiloxanepentol.

Anal. Calcd. for $C_{13}H_{38}O_7Si_3$: C, 47.8; H, 8.5. Found: C, 48.1; H, 7.9.

Cyclohexyl- T_6 . The tetracyclic **4**, hexacyclohexyl-tetracyclo[5.5.1.1^{3,11}.1^{5,9}]hexasiloxane, was separated from the $T_7(OH)_3$ either by fractional crystallization from acetone, in which it was the more soluble component, or by extraction of the triol with cold pyridine. Vacuum sublimation gave a product, m.p. 265 – 267° , which showed ν_aSiOSi (in CS_2) at 1051 (s) and 1078 (m) cm^{-1} . In methyl- T_6^{11} and ethyl- T_6^{11} the band positions were 1057 (s), 1085 (m), and 1052 (s), 1079 (m) cm^{-1} , respectively.

Anal. Calcd. for $C_{36}H_{66}O_9Si_6$: C, 53.3; H, 8.1; mol. wt., 810. Found: C, 53.6; H, 8.2; mol. wt. (cryoscopic in benzene), $810 (\pm 5\%)$.

Cyclohexyl- $T_7(OH)_3$. The triol **5**, heptacyclohexyltricyclo[7.3.3.1^{5,11}]heptasiloxane-*endo-endo-endo*-3,7,14-triol, was separated from the T_6 by fractional crystallization or extraction with pyridine and recrystallized from benzene–acetone to give rhombs, m.p. *ca.* 267° dec. on rapid heating. The monomeric form, observed in pyridine– CS_2 solution, showed ν_aSiOSi near 1112 (s) and 1082 (sh) cm^{-1} , but with the exact positions of the band maxima obscured by the $C_6H_{11}Si$ modes. In the dimeric form (in CS_2) the absorptions shifted about 10 cm^{-1} to higher frequencies, giving a pattern superficially resembling that of a silsesquioxane cage,^{6,7} but with a somewhat broadened band, and with the exact maximum and contours still obscured by the $C_6H_{11}Si$ bands. The decamethyl analog (methyl- T_4D_3) of structure **5**, reported as "compound D" by Scott,¹⁶ showed ν_aSiOSi at 1079 (m) and 1110 (s) cm^{-1} . The 3 - μ region showed only a single peak at 3230 cm^{-1} in either CS_2 or CCl_4 .

Anal. Calcd. for $C_{42}H_{80}O_{12}Si_7$: C, 51.6; H, 8.3; OH, 5.2; mol. wt., 977. Found: C, 51.5; H, 8.3; OH (Zerewitnoff), 5.3; mol. wt. (benzene solutions, Mechrolab osmometer, 25°), 1384 at 4.15, 1312 at 4.13, 1249 at 3.25, and 1237 at 2.37 mg./ml. The latter data were consistent with a molecular weight of 977 at zero concentration and a dissociation constant of 3.7×10^{-3} mole/l.

Conversion to Octacyclohexyl- T_8 . The triol **5**, 0.100 g., was dissolved in 1.0 ml. of warm nitrobenzene and 0.020 ml. of cyclohexyltrichlorosilane was added. No reaction occurred until pyridine (0.024 ml.) was added, whereupon an immediate precipitation of T_8 ensued. The recrystallized product, 0.047 g. (43%), was unequivocally identified as cyclohexyl- T_8 by its sublimability, ν_aSiOSi pattern, and X-ray diffraction pattern.¹²

Conversion to Heptacyclohexyl- T_8 . The triol **5**, 0.10 g., in 1.0 ml. of dry benzene was treated with

(16) D. W. Scott, *J. Am. Chem. Soc.*, **68**, 356 (1946).

pyridine (0.04 ml.) and silicochloroform (0.02 ml.). Precipitation of hydrochloride occurred immediately. The benzene-soluble portion of the product was vacuum distilled and then crystallized from benzene-acetone, whereupon an unidentified by-product (0.005 g.) separated as needles. After 1 month in methanol-benzene the desired pentacyclic separated, m.p. 280° dec. in air. The infrared spectrum showed SiH bands at 2250, 884, and 876 cm^{-1} , and $\nu_{\text{a}}\text{SiOSi}$

as a single, strong band near 1117 cm^{-1} (in CS_2), both as expected for heptacyclohexylpentacyclo[9.5.1.1^{3,9}.-1^{5,15}.1^{7,13}]octasiloxane.

Anal. Calcd. for $\text{C}_{42}\text{H}_{78}\text{O}_{12}\text{Si}_8$: C, 50.4; H, 7.9. Found: C, 50.5; H, 8.1.

Acknowledgment. The authors are indebted to Miss D. V. McClung for the infrared spectra, and to Messrs. H. W. Middleton, J. Magnusen, and E. M. Hadsell for the analyses.

The Polycondensation of Phenylsilanetriol

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Phenylsilanetriol, formed by the hydrolysis of phenyltrichlorosilane, undergoes polycondensation in a fairly selective manner. In aqueous acetone, the major pathway leads through the cis-cis-cis-cyclotetrasiloxanetetrol (phenyl- $\text{T}_4(\text{OH})_4$), the epimeric tetracyclo[7.7.1.1^{3,13}.-1^{5,11}]octasiloxanediols (α , β , and γ phenyl- $\text{T}_3(\text{OH})_2$), and thence to intermolecular condensation polymers of these. Minor side reactions give other 8- to 12-unit cage-like polycyclics. In less polar solvents, the size of the polycyclic blocks formed increases from 8–12 to 20–30 siloxane units, possibly because of micellization among the lower siloxanols prior to condensation. In any case, the structure of the high polymer formed upon complete condensation would appear to resemble a string of beads (the beads being the polycyclic blocks) rather than the regular double chain (ladder) obtained upon equilibration or the irregular, randomly connected network previously assumed.

The aqueous acid-catalyzed (presumably, non-equilibrative) polycondensation of phenylsilanetriol (or of species which can yield it upon hydrolysis) gives resinous products which have been variously known over the years as silicobenzoic acid, phenylsilicic acid, polyphenylsiloxane, or phenyl-T resin.^{1–5} The structural nature of these substances has been the subject of varied speculation,^{1–4} but the long-standing view^{2,6} that the polycondensation of trifunctional monomers must inevitably lead to extremely complex mixtures has discouraged serious investigation.

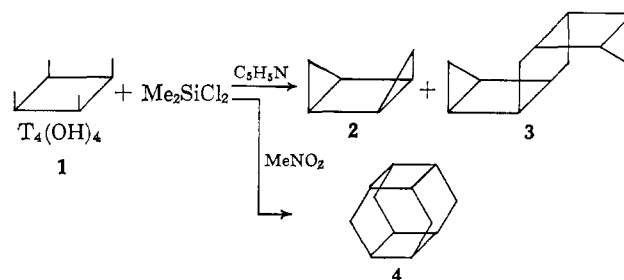
The recent findings that equilibrated polyphenylsiloxanes possess orderly structures,^{7–9} and that

the nonequibrative polycondensation of cyclohexylsilanetriol proceeds in a fairly selective manner,¹⁰ suggested, however, that a closer examination of the phenylsilanetriol polycondensation might be worthwhile.

Results

1. *Polycondensation in Aqueous Acetone. Early Products.* When a solution of phenyltrichlorosilane in acetone was added to a large excess of cold water, complete hydrolysis (exotherm; formation of 3 equiv. of acid) occurred instantly, and a clear solution resulted. Under the conditions employed both the initial hydrolysis product, phenylsilanetriol,¹¹ and the first condensation product, 1,3-diphenyldisiloxanetetrol,¹² were sufficiently water soluble to remain in solution. The first insoluble condensation product, isolated in yields up to about 50%, was a rather unstable crystalline solid, identified as the cyclic tetramer tetrol, or $\text{T}_4(\text{OH})_4$,⁵ **1**.

For identification, the tetrol was treated with dimethyldichlorosilane and pyridine in ether. This gave small amounts of a 1:2 condensation product, formulated as the *cis-syn-cis*-tricyclo[7.3.1.1^{3,7}]hexasiloxane (**2**) and a 2:4 product, formulated as the *cis-syn-cis*-



- (1) A. Ladenburg, *Ber.*, **6**, 379 (1873); *Ann.*, **173**, 143 (1874).
- (2) J. A. Meads and F. S. Kipping, *J. Chem. Soc.*, **107**, 459 (1915).
- (3) K. A. Andrianov and A. A. Zhdanov, *Izv. Akad. Nauk SSSR, Otd. Khim. Nauk*, 1033 (1954).
- (4) M. M. Sprung and F. O. Guenther, *J. Polymer Sci.*, **28**, 17 (1958).
- (5) According to the conventional silicone nomenclature, T denotes a trifunctional unit of siloxane structure, i.e., a monoalkylsiloxy unit. For convenience, we shall indicate the empirical composition of our polycondensates by formulas of the type T_mX_n , where m represents the number of T units in the molecule and X_n , the number and kind of uncondensed functional groups. The empirical formula corresponding to T_mX_n is $(\text{RSi})_m\text{O}_{(3m-n)/2}\text{X}_n$, and the number of rings in the molecule is $(m - n + 2)/2$.
- (6) P. J. Flory, "Principles of Polymer Chemistry," Cornell University Press, Ithaca, N. Y., 1953, Chapter IX.

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